

Naval Inspector General



Washington Navy Yard, DC



Conscience of the Navy...Making a Difference!



Naval Inspector General Hotline Complaint Program

Conscience of the Navy...Making a Difference

Introduction



Role of the Hotline Program

- Hotline Program
 - NAVINSGEN acts as impartial fact finder for SECNAV
 - Promotes efficiency of the command
 - Provides a method to receive complaints of
 - Fraud
 - Waste
 - Mismanagement
 - Military Whistleblower Complaints
 - Improper Referral for Mental Health Evaluation



Role of the Hotline Program

- Hotline Program
 - Provides **alternative** to the Chain of Command
 - **Assists** complainants
 - **Refers** complainants



Policy for Conduct of IG Investigation

Investigating Officers should:

Thoroughly and **impartially**
investigate all non-frivolous, substantive
allegations of **improper conduct** in a
professional manner **without**
command influence, pressure or
fear of reprisal.

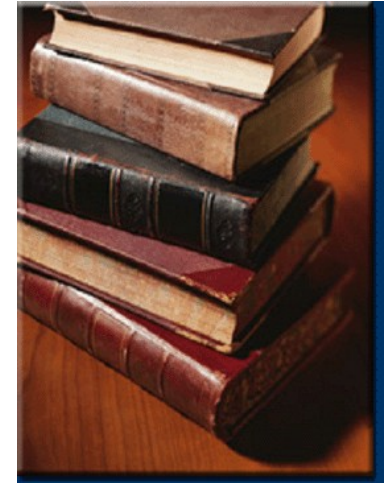


Purpose of IG Investigation

- Purpose of an IG investigation
 - Establish sufficient facts to enable responsible authority
 - To determine whether allegations are substantiated based on the preponderance of the evidence
 - To decide what actions to take, if any



Authority to Conduct Investigations



- SECNAVINST 5430.57 Series, Missions and Functions of the NAVINSGEN
- SECNAVINST 5430.92A, Assignment of Responsibilities to Counteract Fraud, Waste, and Related Improprieties
- SECNAVINST 5800.12A, Investigation of Allegations Against Senior Officials of DON
- SECNAVINST 5370.5B, Dept. of the Navy Hotline Program



4 Standards for Conduct of Investigations

- Independence
- Completeness
- Timeliness
- Accountability





Independence

- Independence – critical to ensure IO is:
 - Free from bias
 - Free from command influence
 - Senior to subject (exception: positional authority, i. e., IG)
 - Neutral
 - Objective
 - Professional



Timeliness

- Timeliness is essential
- Communicate with the tasking authority if you need more time
- Submit Progress Reports w/ substantive information – avoid redundancy





Completeness

- Complete and thorough investigation and report
 - Address all tasked and emergent allegations
 - Interview the complainant, subject, key witnesses
 - Collect and include all pertinent information
 - Write allegations in the proper format
 - Discuss mitigating factors
 - Weigh all the evidence



Accountability

- Four types of action to hold subject accountable
 - ✓ Corrective action
 - ✓ Remedial action
 - ✓ Administrative action
 - ✓ Disciplinary action



Questions ?

Leadership
Responsibility
Accountability

